

# Safety Verification of Communicating One-Counter Machines

A. Heußner

Univ. of Bamberg

T. Le Gall

CEA Saclay

G. Sutre

LaBRI Bordeaux

FSTTCS 2012, Hyderabad

# Agenda

⇒ Motivating Example: Sliding Window Protocol

⇒ Systems of Communicating One-Counter Machines and their Topology Parametrized Reachability Problem

⇒ Main Theorem: Proof of “Only If” Direction

⇒ Main Theorem: Proof of “If” Direction

⇒ Related/On-going/Future Work

# Agenda

⇒ Motivating Example: Sliding Window Protocol

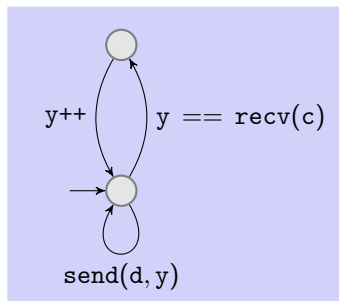
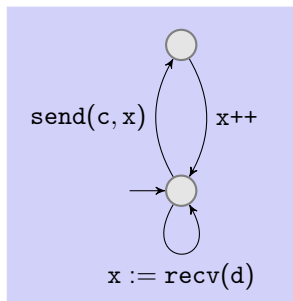
⇒ Systems of Communicating One-Counter Machines and their Topology Parametrized Reachability Problem

⇒ Main Theorem: Proof of “Only If” Direction

⇒ Main Theorem: Proof of “If” Direction

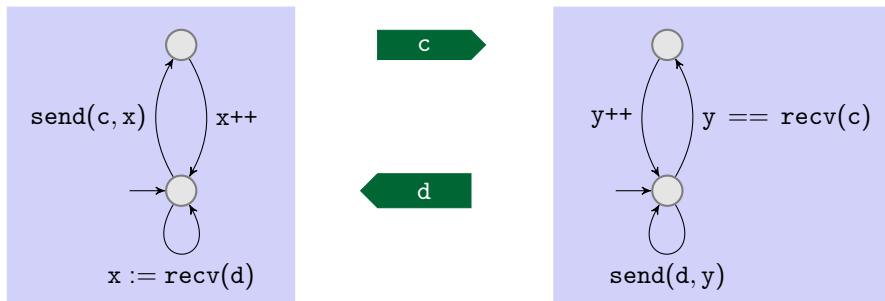
⇒ Related/On-going/Future Work

# Example Sliding Window Protocol



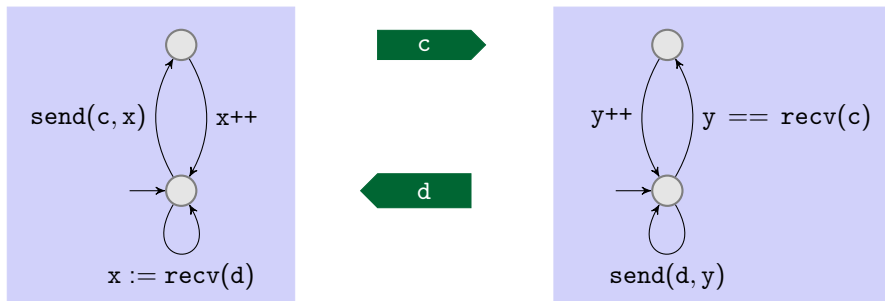
⇔ One local counter for each process ( $x, y$ )

# Example Sliding Window Protocol



- ⇒ One local counter for each process ( $x, y$ )
- ⇒ Asynchronous communication via perfect channels ( $c, d$ )
  - send the counter's value
  - receive and test/overwrite the counter

# Example Sliding Window Protocol



- ⇒ One local counter for each process ( $x, y$ )
- ⇒ Asynchronous communication via perfect channels ( $c, d$ )
  - send the counter's value
  - receive and test/overwrite the counter
- ⇒ Sources of **infinity**: local counters, message alphabet, channel length

# Safety Verification of Example

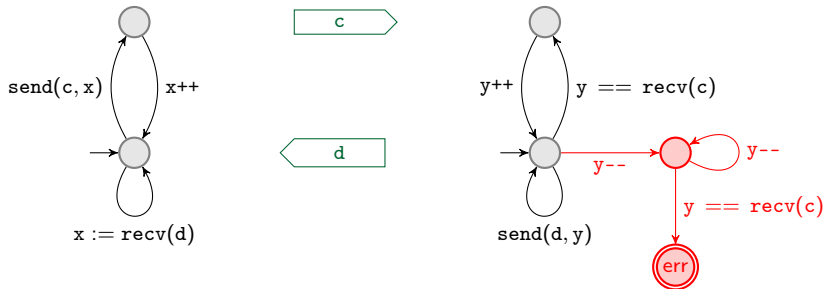
**Goal:**

Check absence of unspecified receptions due to  $y$  being too large

# Safety Verification of Example

**Goal:**

Check absence of unspecified receptions due to  $y$  being too large

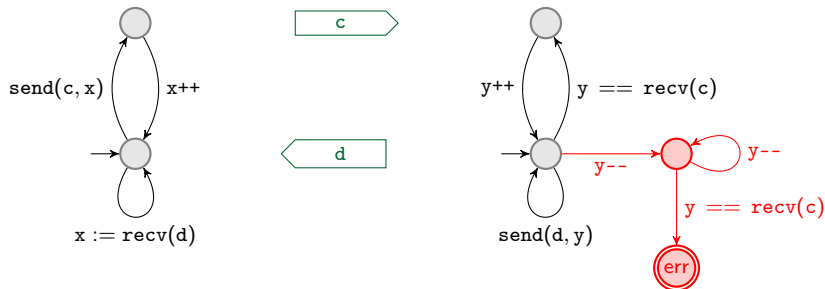




# Safety Verification of Example

**Goal:**

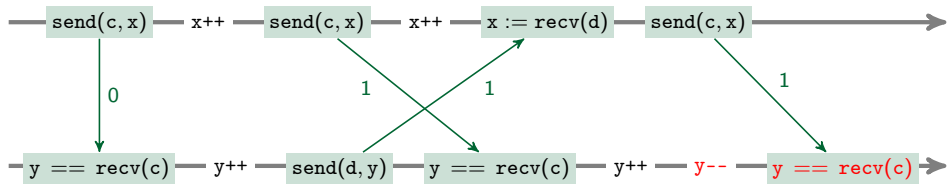
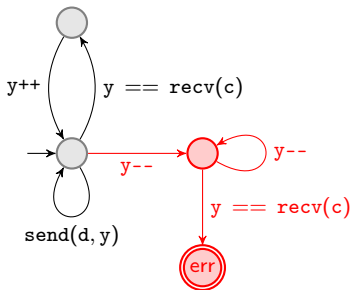
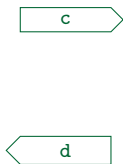
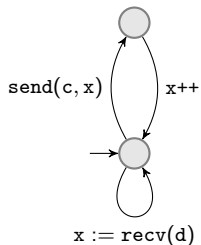
Check absence of unspecified receptions due to  $y$  being too large



**More formal goal:**

Check that **err** is not **reachable**

# An Erroneous Execution



# Agenda

⇒ Motivating Example: Sliding Window Protocol

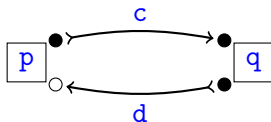
⇒ Systems of Communicating One-Counter Machines and their Topology Parametrized Reachability Problem

⇒ Main Theorem: Proof of “Only If” Direction

⇒ Main Theorem: Proof of “If” Direction

⇒ Related/On-going/Future Work

# Communication Topologies



## Definition:

A **topology** is  $\mathcal{T} = \langle P, C, \text{src}, \text{dst} \rangle$  where

- $\Rightarrow P$  : finite set of **processes**
- $\Rightarrow C$  : finite set of **channels**
- $\Rightarrow \text{src}, \text{dst} : C \rightarrow P \times \{\bullet, \circ\}$

## Communication types

- **strong** : standard CFSM-style communication (**==**)
- **weak** : counter lost by communication (**:=**)

# Communicating One-Counter Machines

**Definition:**

A **communicating one-counter machine** is  $\langle S, I, F, A, \Delta \rangle$  where

- ⇒  $S$  : finite set of **states**
- ⇒  $I, F \subseteq S$  : **initial** and **final** states
- ⇒  $A$  : finite set of **actions**
- ⇒  $\Delta \subseteq S \times A \times S$  : finite set of transition **rules**

# Communicating One-Counter Machines

**Definition:**

A **communicating one-counter machine** is  $\langle S, I, F, A, \Delta \rangle$  where

- $\Leftrightarrow S$  : finite set of **states**
- $\Leftrightarrow I, F \subseteq S$  : **initial** and **final** states
- $\Leftrightarrow A$  : finite set of **actions**
- $\Leftrightarrow \Delta \subseteq S \times A \times S$  : finite set of transition **rules**

**Actions :**  $\text{add}(k) \mid \text{test}(\varphi) \mid c! \mid c?$  ( $k \in \mathbb{Z}, \varphi \in \text{Presb}_1, c \in C$ )

# Communicating One-Counter Machines

## Definition:

A **communicating one-counter machine** is  $\langle S, I, F, A, \Delta \rangle$  where

- $\Leftrightarrow S$  : finite set of **states**
- $\Leftrightarrow I, F \subseteq S$  : **initial** and **final** states
- $\Leftrightarrow A$  : finite set of **actions**
- $\Leftrightarrow \Delta \subseteq S \times A \times S$  : finite set of transition **rules**

**Actions** :  $\text{add}(k) \mid \text{test}(\varphi) \mid c! \mid c?$  ( $k \in \mathbb{Z}, \varphi \in \text{Presb}_1, c \in C$ )

## Definition:

A **system of comm. one-counter machines** is  $\langle \mathcal{T}, (\mathcal{M}^p)_{p \in P} \rangle$  where

- $\Leftrightarrow \mathcal{T}$  : topology
- $\Leftrightarrow \mathcal{M}^p$  : communicating one-counter machine

# SC1CM Semantics: Configurations

Recall:

A SC1CM is  $\langle \mathcal{T}, (\mathcal{M}^P)_{P \in \mathcal{P}} \rangle$  where  $\mathcal{M}^P = \langle S^P, I^P, F^P, A^P, \Delta^P \rangle$

A configuration is  $( \begin{matrix} \prod_{P \in \mathcal{P}} S^P \\ \cup \\ s \end{matrix} , \begin{matrix} \mathbb{N}^P \\ \cup \\ x \end{matrix} , \begin{matrix} (\mathbb{N}^*)^C \\ \cup \\ w \end{matrix} )$

*initial*  $\stackrel{\text{def}}{\iff} s^P \in I^P \wedge x = \mathbf{0} \wedge w = \varepsilon$   
*final*  $\stackrel{\text{def}}{\iff} s^P \in F^P$



# SC1CM Semantics: Transitions

Recall:

A SC1CM is  $\langle \mathcal{T}, (\mathcal{M}^p)_{p \in P} \rangle$  where  $\mathcal{M}^p = \langle S^p, I^p, F^p, A^p, \Delta^p \rangle$

The **transition** relation  $(s, x, w) \xrightarrow{a} (s', x', w')$  is defined by

- ⇒ exactly one process moves
- ⇒ counter actions behave as expected

# SC1CM Semantics: Transitions

Recall:

A SC1CM is  $\langle \mathcal{T}, (\mathcal{M}^P)_{P \in P} \rangle$  where  $\mathcal{M}^P = \langle S^P, I^P, F^P, A^P, \Delta^P \rangle$

The **transition** relation  $(s, x, w) \xrightarrow{a} (s', x', w')$  is defined by

- ⇒ exactly one process moves
- ⇒ counter actions behave as expected
- ⇒ communication actions depend on the endpoint's type

$$\begin{array}{ll} \bullet \xrightarrow{c} c! & \equiv c!x & \circ \xrightarrow{c} c! & \equiv c!x ; x := \text{any} \\ \xrightarrow{c} \bullet c? & \equiv c?x & \xrightarrow{c} \circ c? & \equiv x := \text{any} ; c?x \end{array}$$

# SC1CM Semantics: Transitions

Recall:

A SC1CM is  $\langle \mathcal{T}, (\mathcal{M}^P)_{P \in \mathcal{P}} \rangle$  where  $\mathcal{M}^P = \langle S^P, I^P, F^P, A^P, \Delta^P \rangle$

The **transition** relation  $(s, x, w) \xrightarrow{a} (s', x', w')$  is defined by

- ⇒ exactly one process moves
- ⇒ counter actions behave as expected
- ⇒ communication actions depend on the endpoint's type

$$\begin{array}{ll} \bullet \xrightarrow{c} c! & \equiv c!x & \circ \xrightarrow{c} c! & \equiv c!x ; x := \text{any} \\ \xrightarrow{c} \bullet c? & \equiv c?x & \xrightarrow{c} \circ c? & \equiv x := \text{any} ; c?x \end{array}$$

- ⇒ Note:  $\circ$  can be simulated by  $\bullet$

# Parametrized Reachability Problem

**Definition:**

Given a topology  $\mathcal{T}$ , the decision problem  $\text{RP-SC1CM}(\mathcal{T})$  is

**Input:** a system of communicating one-counter machines  $\mathcal{S}$   
with topology  $\mathcal{T}$

**Output:** whether there exists a full run in  $\llbracket \mathcal{S} \rrbracket$

A run  $(s, x, w) \xrightarrow{*} (s', x', w')$  is full when  $\begin{cases} (s, x, w) \text{ is initial} \\ (s', x', w') \text{ is final} \end{cases}$

# Parametrized Reachability Problem

**Definition:**

Given a topology  $\mathcal{T}$ , the decision problem  $\text{RP-SC1CM}(\mathcal{T})$  is

**Input:** a system of communicating one-counter machines  $\mathcal{S}$   
with topology  $\mathcal{T}$

**Output:** whether there exists a full run in  $\llbracket \mathcal{S} \rrbracket$

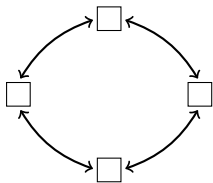
A run  $(s, x, w) \xrightarrow{*} (s', x', w')$  is full when  $\begin{cases} (s, x, w) \text{ is initial} \\ (s', x', w') \text{ is final} \end{cases}$

**Goal:**

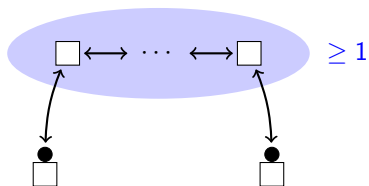
Characterize the topologies  $\mathcal{T}$  where  $\text{RP-SC1CM}(\mathcal{T})$  is decidable.

# Main Result

Simple Undirected Cycle

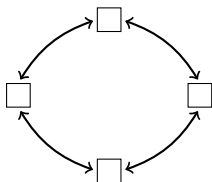


Simple Undirected Shunt

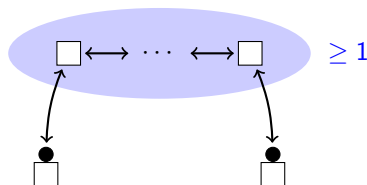


# Main Result

Simple Undirected Cycle



Simple Undirected Shunt



**Theorem:**

$\text{RP-SC1CM}(\mathcal{T})$  is decidable iff  $\mathcal{T}$  is **cycle-free** and **shunt-free**

⇔ **cycle-free**: no simple undirected cycle

⇔ **shunt-free**: no simple undirected shunt

# Agenda

⇒ Motivating Example: Sliding Window Protocol

⇒ Systems of Communicating One-Counter Machines and their Topology Parametrized Reachability Problem

⇒ Main Theorem: Proof of “Only If” Direction

⇒ Main Theorem: Proof of “If” Direction

⇒ Related/On-going/Future Work



# Reduce known Undecidability Results

**Idea:**

Simulation of communicating finite-state machines (CFSM)

- ⇒ encode finite message exchange in exchange of counters
- ⇒ reduce undecidability of reachability on **cyclic** architectures ⚡

# Reduce known Undecidability Results

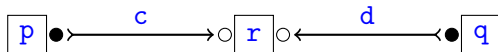
Idea:

Simulation of communicating finite-state machines (CFSM)

- ⇒ encode finite message exchange in exchange of counters
- ⇒ reduce undecidability of reachability on **cyclic** architectures ⚡

Idea:

Simulation of two-counters Minsky machines



- ⇒ reduce undecidability of reachability on simple **shunt** ⚡

# Agenda

⇒ Motivating Example: Sliding Window Protocol

⇒ Systems of Communicating One-Counter Machines and their Topology Parametrized Reachability Problem

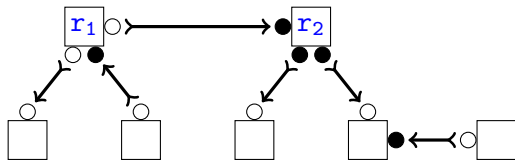
⇒ Main Theorem: Proof of “Only If” Direction

⇒ Main Theorem: Proof of “If” Direction

⇒ Related/On-going/Future Work

# Cycle-free & Shunt-free Topologies

Form of topologies that are weakly-connected, cycle-free and shunt-free

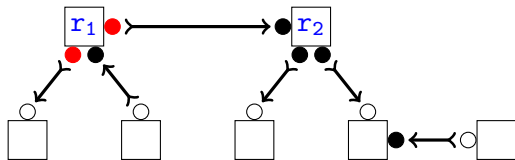


⇒ Two “roots”  $r_1 \rightsquigarrow r_2$

⇒ Every simple undirected path from  $\{r_1, r_2\}$  to  $p \notin \{r_1, r_2\}$  ends with  $\dots \longleftrightarrow \circ p$

# Cycle-free & Shunt-free Topologies

Form of topologies that are weakly-connected, cycle-free and shunt-free

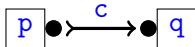


⇒ Two “roots”  $r_1 \bullet \rightarrow \bullet r_2$

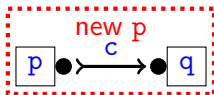
⇒ Every simple undirected path from  $\{r_1, r_2\}$  to  $p \notin \{r_1, r_2\}$  ends with  $\dots \bullet \leftrightarrow \circ p$

[ Recall:  $\circ$  can be simulated by  $\bullet$  ]

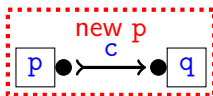
# Case of Two Processes



# Case of Two Processes



# Case of Two Processes



Idea:

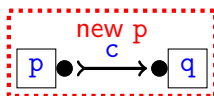
Intersect reachability relations of  $p$  and  $q$  between synchronizations

$$\dots \xrightarrow{(s^p, s^q) \quad c!c?} (s, x) \xrightarrow{*} (t, y) \xrightarrow{(t^p, t^q) \quad c!c?} \dots$$

$\underbrace{\hspace{10em}}_{\chi_{s,t}}$



# Case of Two Processes



Idea:

Intersect reachability relations of  $p$  and  $q$  between synchronizations

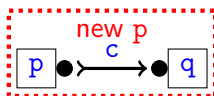
$$\dots \xrightarrow{c!c?} (s, x) \xrightarrow{*} (t, y) \xrightarrow{c!c?} \dots$$

$(s^p, s^q)$        $(t^p, t^q)$

$\underbrace{\hspace{10em}}_{\chi_{s,t}}$

$$\chi_{s,t}(x, y) = (s^p, x) \xrightarrow{*}_p (t^p, y) \wedge (s^q, x) \xrightarrow{*}_q (t^q, y) \in Presb_2$$

# Case of Two Processes



**Idea:**

Intersect reachability relations of  $p$  and  $q$  between synchronizations

$$\dots \xrightarrow{c!c?} (s, x) \xrightarrow{*} (t, y) \xrightarrow{c!c?} \dots$$

$(s^p, s^q)$        $\underbrace{\hspace{10em}}_{\chi_{s,t}}$        $(t^p, t^q)$

$$\chi_{s,t}(x, y) = (s^p, x) \xrightarrow{*}_p (t^p, y) \wedge (s^q, x) \xrightarrow{*}_q (t^q, y) \in Presb_2$$

**Issue:**

Reachability is **undecidable** ⚡ for the class of one-counter machines with Presburger-definable updates

# One-Counter Reachability Relations

Fix two distinguished Presburger variables  $x$  and  $y$

The class of **one-counter Presburger predicates** is generated by

$$\psi ::= \varphi(x) \mid \varphi(y) \mid \varphi(x - y) \mid \varphi(y - x) \mid \psi \wedge \psi \mid \psi \vee \psi \mid \mathbf{tt} \mid \mathbf{ff}$$

where  $\varphi$  ranges over unary Presburger predicates

# One-Counter Reachability Relations

Fix two distinguished Presburger variables  $x$  and  $y$

The class of **one-counter Presburger predicates** is generated by


$$\psi ::= \varphi(x) \mid \varphi(y) \mid \varphi(x - y) \mid \varphi(y - x) \mid \psi \wedge \psi \mid \psi \vee \psi \mid \mathbf{tt} \mid \mathbf{ff}$$

where  $\varphi$  ranges over unary Presburger predicates

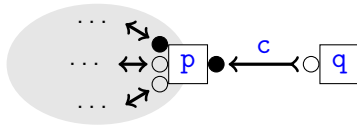
## Theorem:

For every binary relation  $R \subseteq \mathbb{N} \times \mathbb{N}$ , the two following assertions are equivalent:

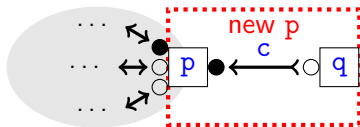
- i)  $R = \{(x, y) \mid (s, x) \xrightarrow{*} (t, y)\}$  for some one-counter machine
- ii)  $R = \llbracket \psi \rrbracket$  for some **one-counter Presburger predicate**  $\psi$

$\Leftrightarrow \chi_{s,t}(x, y)$  can be translated into a one-counter machine 

# Merging Leaf Processes



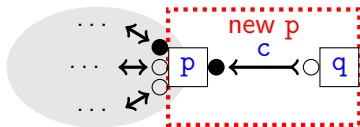
# Merging Leaf Processes



**Idea:**

Merge leaf process  $q$  into  $p$  by summarizing  $q$ 's behavior

# Merging Leaf Processes

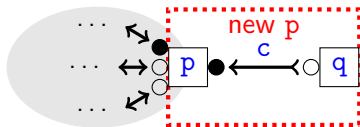


**Idea:**

Merge leaf process  $q$  into  $p$  by summarizing  $q$ 's behavior

⇒ Schedule  $q$  last :  $q$  moves only when  $p$  attempts to receive from  $c$

# Merging Leaf Processes



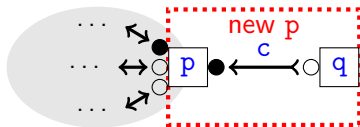
**Idea:**

Merge leaf process  $q$  into  $p$  by summarizing  $q$ 's behavior

- ⇒ Schedule  $q$  last :  $q$  moves only when  $p$  attempts to receive from  $c$
- ⇒ Communications between  $p$  and  $q$  become synchronizations  $c! \cdot c?$



# Merging Leaf Processes

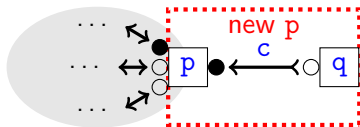


**Idea:**

Merge leaf process  $q$  into  $p$  by summarizing  $q$ 's behavior

- ⇒ Schedule  $q$  last :  $q$  moves only when  $p$  attempts to receive from  $c$
- ⇒ Communications between  $p$  and  $q$  become synchronizations  $c! \cdot c?$
- ⇒ States of  $p$  become pairs  $(s^p, s^q)$

# Merging Leaf Processes



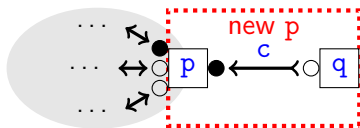
Idea:

Merge leaf process  $q$  into  $p$  by summarizing  $q$ 's behavior

- ⇒ Schedule  $q$  last :  $q$  moves only when  $p$  attempts to receive from  $c$
- ⇒ Communications between  $p$  and  $q$  become synchronizations  $c! \cdot c?$
- ⇒ States of  $p$  become pairs  $(s^p, s^q)$
- ⇒ Rules  $(s^p, c?, t^p)$  of  $p$  become  $((s^p, s^q), \text{test}(\varphi), (t^p, t^q))$  where

$$\varphi = \exists u \exists z \cdot (u, c!, t^q) \in \Delta^q \wedge (s^q, z) \xrightarrow{*}_q (u, x)$$

# Merging Leaf Processes



Idea:

Merge leaf process  $q$  into  $p$  by summarizing  $q$ 's behavior

- ⇒ Schedule  $q$  last :  $q$  moves only when  $p$  attempts to receive from  $c$
- ⇒ Communications between  $p$  and  $q$  become synchronizations  $c! \cdot c?$
- ⇒ States of  $p$  become pairs  $(s^p, s^q)$
- ⇒ Rules  $(s^p, c?, t^p)$  of  $p$  become  $((s^p, s^q), \text{test}(\varphi), (t^p, t^q))$  where

$$\varphi = \exists u \exists z \cdot (u, c!, t^q) \in \Delta^q \wedge (s^q, z) \xrightarrow{*}_q (u, x)$$

- ⇒ Use Presburger-definability of  $\text{post}^*$  for one-counter machines

# Agenda

⇒ Motivating Example: Sliding Window Protocol

⇒ Systems of Communicating One-Counter Machines and their Topology Parametrized Reachability Problem

⇒ Main Theorem: Proof of “Only If” Direction

⇒ Main Theorem: Proof of “If” Direction

⇒ Related/On-going/Future Work

# Summary

⇒ Formal model of Systems of Communicating One-Counter Machines

# Summary

- ⇒ Formal model of Systems of Communicating One-Counter Machines
- ⇒ Their topology-parametrized reachability question

# Summary

- ⇒ Formal model of Systems of Communicating One-Counter Machines
- ⇒ Their topology-parametrized reachability question
- ⇒ Complete characterization of decidability in terms of topologies

# Summary

- ⇒ Formal model of Systems of Communicating One-Counter Machines
- ⇒ Their topology-parametrized reachability question
- ⇒ Complete characterization of decidability in terms of topologies
- ⇒ Technical (side-)result:  
reachability relations of one-counter machines fall in “good”  
fragment of Presburger arithmetics



# Related Works

- ▷ communicating **finite state** machines (CFSM)  
[Brand/Zafiropoulo '81, Pachi '82]

# Related Works

- ▷ communicating **finite state** machines (CFSM)  
[Brand/Zafiropoulo '81, Pachi '82]
- ▷ characterize decidable **topologies** for mixed lossy & reliable channels  
[Chambart/Schnoebelen '08]

# Related Works

- ▷ communicating **finite state** machines (CFSM)  
[Brand/Zafiropoulo '81, Pachi '82]
- ▷ characterize decidable **topologies** for mixed lossy & reliable channels  
[Chambart/Schnoebelen '08]
- ▷ CFSM with **infinite message alphabets**  
[Le Gall/Jeannet '07]

# Related Works

- ▷ communicating **finite state** machines (CFSM)  
[Brand/Zafiropoulo '81, Pacht '82]
  - ▷ characterize decidable **topologies** for mixed lossy & reliable channels  
[Chambart/Schnoebelen '08]
  - ▷ CFSM with **infinite message alphabets**  
[Le Gall/Jeannet '07]
  - ▷ (fifo-) communicating **pushdown** machines  
[LaTorre/Parlato/Madhusudan '08]
- and the influence of **topologies** on decidability  
[Heußner/Leroux/Muscholl/Sutre '10]

# Related Works

- ▷ communicating **finite state** machines (CFSM)  
[Brand/Zafiropoulo '81, Pacht '82]
- ▷ characterize decidable **topologies** for mixed lossy & reliable channels  
[Chambart/Schnoebelen '08]
- ▷ CFSM with **infinite message alphabets**  
[Le Gall/Jeannet '07]
- ▷ (fifo-) communicating **pushdown** machines  
[LaTorre/Parlato/Madhusudan '08]  
  
and the influence of **topologies** on decidability  
[Heußner/Leroux/Muscholl/Sutre '10]
- ▷ decidable restrictions of multi-counter machines,  
model checking register machines, . . .

# Decidability of Eager Reachability

**Definition:**

A full run  $\rho$  is **eager** if matching  $(c!, c?)$  pairs are consecutive in  $\rho$

If  $\mathcal{T}$  is cycle-free,

⇒ Full runs can be re-ordered into eager ones

⇒  $\text{RP-SC1CM-EAGER}(\mathcal{T})$  is decidable iff  $\mathcal{T}$  is shunt-free

**Proposition:**

If  $\mathcal{T}$  is strongly connected, then  $\text{RP-SC1CM-EAGER}(\mathcal{T})$  is decidable iff  $\mathcal{T}$  contains at most two processes

**Open:** full characterization of decidable topologies (for eager reachability)

# Perspectives

Complexity of  $\text{RP-SC1CM}$  for decidable topologies

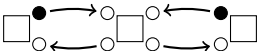
⇨ At least PSPACE-hard

# Perspectives

Complexity of RP-SC1CM for decidable topologies

⇒ At least PSPACE-hard

Lossy channel Communicating One-Counter Machines

⇒ Undecidable for  using acknowledgments

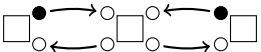


# Perspectives

Complexity of RP-SC1CM for decidable topologies

⇒ At least PSPACE-hard

Lossy channel Communicating One-Counter Machines

⇒ Undecidable for  using acknowledgments

Extension from counters to **stacks** (i.e., send/receive stack)

**Conjecture:**

RP-SCPDM( $\mathcal{T}$ ) is decidable iff  $\mathcal{T}$  is **cycle-free** and **shunt-free**